

NOV 07 2003

17410-00007 AF  
PATENT 3623



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cepeda : Art Unit: 3623

Serial No.: 09/731,161 : Examiner: Michael C. Heck

Filed: December 6, 2000 :

For: SYSTEM AND METHOD FOR  
ALLOCATING OPERATING  
EXPENSES :

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GROUP 3600

Mail Stop: AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

1. Transmitted herewith is:

Transmittal (3 pgs., in duplicate); Amendment in Response to Office Action dated August 12, 2003 (32 pgs.); Request for Approval of Drawing Changes (4 pgs.); Three (3) Sheets of Replacement Drawings; Return post card

STATUS

2. Applicant

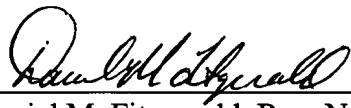
claims small entity status.  
is other than a small entity.

CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER FOR PATENTS

Express Mail No. EV339989133US

Date: November 6, 2003

I hereby certify that the documents listed above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Daniel M. Fitzgerald, Reg. No. 38,880

## **EXTENSION OF TERM**

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply.

**(complete (a) or (b), as applicable)**

(a)  Applicant petitions for an extension of time under 37 C.F.R. 1.136  
(Fees: 37 C.F.R. 1.17(a)-(d) for the total number of months checked below:)

Extension for response within:	Other than small entity Fee	Small entity Fee (if applicable)
<input type="checkbox"/> first month	\$ 110.00	\$ 55.00
<input type="checkbox"/> second month	\$ 410.00	\$ 205.00
<input type="checkbox"/> third month	\$ 930.00	\$ 465.00
<input type="checkbox"/> fourth month	\$1,450.00	\$ 725.00
<input type="checkbox"/> fifth month	\$1,970.00	\$ 985.00

**Fee Due**                           **\$**

If an additional extension of time is required, please consider this a petition therefor.

*(Check and complete the next item, if applicable)*

An extension of \_\_\_\_\_ months has already been secured. The fee paid therefor \$\_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_.

OR

(b)  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

## **FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN SMALL ENTITY	
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	ADDITIONAL. RATE FEE	OR	ADDITIONAL RATE FEE
TOTAL INDEP.	MINUS		=	x \$9 = \$		x \$18 = \$
	MINUS		=	x \$42 = \$		x \$84 = \$
<u>—</u> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$140 = \$		+ \$280 = \$
				TOTAL ADDITIONAL FEE \$	OR	TOTAL ADDITIONAL FEE \$

(a)  No additional fee for Claims is required

**OR**

(b)  Total additional fee for claims required \$ \_\_\_\_\_

**FEE PAYMENT**

5. \_\_\_\_\_ Attached is a check in the sum of \$ \_\_\_\_\_

Charge Deposit Account No. 01-2384 the sum of \$ \_\_\_\_\_.  
A duplicate of this transmittal is attached.

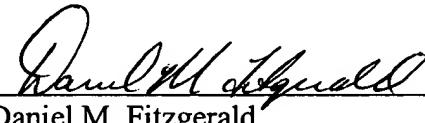
**FEE DEFICIENCY**

6.  If any additional extension and/or fee is required, charge Deposit Account No. 01-2384.

**AND/OR**

If any additional fee for claims is required, charge Deposit Account No. 01-2384.

7.  Other:

  
\_\_\_\_\_  
Daniel M. Fitzgerald  
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314/621-5070



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**GROUP 3600**

**REQUEST FOR APPROVAL OF DRAWING CHANGES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Submitted herewith are drawings of record showing proposed changes in permanent red ink for approval by the Examiner. Specifically, Applicant has amended Figure 10 such that the amounts shown for "Close Deal Unit Cost Total" and "Dead Deals % of Sub-TC Total" correspond with the amounts shown in Figure 11. Additionally, Figures 10 and 11 have been amended such that the amount shown for "Total Costs Total" corresponds with the amount shown in the specification and in Figure 5. Furthermore, Figures 6 and 10 have been amended to show "Preferred Equity". Applicant respectfully requests approval of the indicated drawing changes. In anticipation of the Examiner's approval of the drawing changes, submitted herewith are replacement drawing sheets incorporating the requested changes. No new matter has been added.

Respectfully Submitted,

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